

# METHOD OF FORMING FINE PATTERNS

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention

5 This invention relates to a method of forming fine patterns in the field of photolithographic technology. More particularly, the invention relates to a method of forming or defining fine patterns, such as hole patterns and trench patterns, that can meet today's requirements for higher packing  
10 densities and smaller sizes of semiconductor devices.

### 2. Description of the Related Art

In the manufacture of electronic components such as semiconductor devices and liquid-crystal devices, there is employed the photolithographic technology which, in order to  
15 perform a treatment such as etching on the substrate, first forms a film (photoresist layer) over the substrate using a so-called radiation-sensitive photoresist which is sensitive to activating radiations, then performs exposure of the film by selective illumination with an activating radiation, per-  
20 forms development to dissolve away the photoresist layer selectively to form an image pattern (photoresist pattern), and forms a variety of patterns including contact providing patterns such as a hole pattern and a trench pattern using the photoresist pattern as a protective layer (mask pattern).

25 With the recent increase in the need for higher packing densities and smaller sizes of semiconductor devices, increasing efforts are being made to form sufficiently fine-line patterns and submicron-electronic fabrication capable of

forming patterns with linewidths of no more than 0.20  $\mu\text{m}$  is currently required. As for the activating light rays necessary in the formation of mask patterns, short-wavelength radiations such as KrF, ArF and F<sub>2</sub> excimer laser beams and electron beams are employed. Further, active R&D efforts are being made to find photoresist materials as mask pattern formers that have physical properties adapted to those short-wavelength radiations.

In addition to those approaches for realizing submicron-electronic fabrication which are based on photoresist materials, active R&D efforts are also being made on the basis of pattern forming method with a view to finding a technology that can provide higher resolutions than those possessed by photoresist materials.

For example, JP-5-166717A discloses a method of forming fine patterns which comprises the steps of defining patterns (=photoresist-uncovered patterns) into a pattern-forming resist on a substrate, then coating over entirely the substrate with a mixing generating resist that is to be mixed with said pattern-forming resist, baking the assembly to form a mixing layer on both sidewalls and the top of the pattern-forming resist, and removing the non-mixing portions of said mixing generating resist such that the feature size of the photoresist-uncovered pattern is reduced by an amount comparable to the dimension of said mixing layer. JP-5-241348 discloses a pattern forming method comprising the steps of depositing a resin, which becomes insoluble in the presence of an acid, on a substrate having formed thereon a resist pattern containing

an acid generator, heat treating the assembly so that the acid is diffused from the resist pattern into said resin insoluble in the presence of an acid to form a given thickness of insolubilized portion of the resist near the interface between the resin and the resist pattern, and developing the resist to remove the resin portion through which no acid has been diffused, thereby ensuring that the feature size of the pattern is reduced by an amount comparable to the dimension of said given thickness.

However, in these methods, it is difficult to control the thickness of layers to be formed on the sidewalls of resist patterns. In addition, the in-plane heat dependency of wafers is as great as ten-odd nanometers per degree Celsius, so it is extremely difficult to keep the in-plane uniformity of wafers by means of the heater employed in current fabrication of semiconductor devices and this leads to the problem of occurrence of significant variations in pattern dimensions.

Another approach known to be capable of reducing pattern dimensions is by fluidizing resist patterns through heat treatment and the like. For example, JP-1-307228A discloses a method comprising the steps of forming a resist pattern on a substrate and applying heat treatment to deform the cross-sectional shape of the resist pattern, thereby defining a fine pattern. In addition, JP-4-364021A discloses a method comprising the steps of forming a resist pattern and heating it to fluidize the resist pattern, thereby changing the dimensions of its resist pattern to form or define a fine-line pattern.

In these methods, the wafer's in-plane height dependency is only a few nanometers per degree Celsius and is not very problematic. On the other hand, it is difficult to control the resist deformation and fluidizing on account of heat treatment, so it is not easy to provide a uniform resist pattern in a wafer's plane.

An evolved version of those methods is disclosed in JP-7-45510A and it comprises the steps of forming a resist pattern on a substrate, forming a stopper resin on the substrate to prevent excessive thermal fluidizing of the resist pattern, then applying heat treatment to fluidize the resist so as to change the dimensions of its pattern, and thereafter removing the stopper resin to form or define a fine-line pattern. As the stopper resin, a water-soluble resin, specifically, polyvinyl alcohol is employed singly. However, the use of polyvinyl alcohol singly is not highly soluble in water and cannot be readily removed completely by washing with water, introducing difficulty in forming a pattern of good profile. The pattern formed is not completely satisfactory in terms of stability over time. In addition, polyvinyl alcohol cannot be applied efficiently by coating. Because of these and other problems, the method disclosed in JP-7-45510 has yet to be adopted commercially.

Furthermore, in those traditional methods, as hydrophilic resins are coated to form resin layers on the top or on the side walls of the photoresist patterns that are being hydrophobic, it sometimes causes difficulties in coating of the hydrophilic resins uniformly. In addition, with the re-

cent tendency of wafers become larger in diameters, the requirements for the formation of fine patterns becomes highly difficulties, and the reduction of the usage amounts of hydrophilic resins in forming the patterns is also required.

5 Specifically, with the increase in the need of forming ultra fine patterns, it becomes difficult to apply the resins completely into on the portions of the substrate between the photoresist patterns: there are some portions whereto the resins cannot be applied into, and microfoaming occur,  
10 thereby to cause defectives in the formation of the patterns.

JP 2001-281886A discloses a method comprising the steps of covering a surface of a resist pattern with an acidic film made of a resist pattern size reducing material containing a water-soluble resin, rendering the surface layer of the resist pattern alkali-soluble, then removing said surface layer  
15 and the acidic film with an alkaline solution to reduce the feature size of the resist pattern. JP-2002-184673A discloses a method comprising the steps of forming a resist pattern on a substrate, then forming a film containing a water-soluble  
20 film forming component on said resist pattern, heat treating said resist pattern and film, and immersing the assembly in an aqueous solution of tetramethylammonium hydroxide, thereby forming a fine-line resist pattern without involving a dry etching step. However, both methods are simply directed to  
25 reducing the size of resist trace patterns themselves and therefore are totally different from the present invention in object.

## SUMMARY OF THE INVENTION

The present invention has been accomplished under these circumstances and has as an object providing a method of forming fine patterns on a substrate having photoresist patterns (mask patterns) as it is covered with an over-coating agent, in which having improvements in coating properties of the over-coating agent and coating uniformity, as well as the reduction of the usage amounts of the over-coating agent.

In order to attain this object, the present invention provides a method of forming fine patterns comprising: subjecting a substrate having photoresist patterns to a hydrophilic treatment, covering the substrate having photoresist patterns with an over-coating agent for forming fine patterns, applying heat treatment to cause thermal shrinkage of the over-coating agent so that the spacing between adjacent photoresist patterns is lessened by the resulting thermal shrinking action, and removing the over-coating agent substantially completely.

In a preferred embodiment, the heat treatment is performed at a temperature that does not cause thermal fluidizing of the photoresist patterns on the substrate.

## DETAILED DESCRIPTION OF THE INVENTION

The method of preparing the substrate used in the present invention having photoresist patterns thereon is not limited to any particular type and it can be prepared by conventional methods employed in the fabrication of semiconductor devices, liquid-crystal display devices, magnetic heads

and microlens arrays. In an exemplary method, a photoresist composition of chemically amplifiable or other type is spin- or otherwise coated on a substrate such as a silicon wafer and dried to form a photoresist layer, which is illuminated  
5 with an activating radiation such as ultraviolet, deep-ultraviolet or excimer laser light through a desired mask pattern using a reduction-projection exposure system or subjected to electron beam photolithography, then heated and developed with a developer such as an alkaline aqueous solution,  
10 typically a 1 - 10 mass% tetramethylammonium hydroxide (TMAH) aqueous solution, thereby forming a photoresist pattern on the substrate.

The photoresist composition serving as a material from which photoresist patterns are formed is not limited in any  
15 particular way and any common photoresist compositions may be employed including those for exposure to i- or g-lines, those for exposure with an excimer laser (e.g. KrF, ArF or F<sub>2</sub>) and those for exposure to EB (electron beams).

[a.] Substrate hydrophilic treatment step

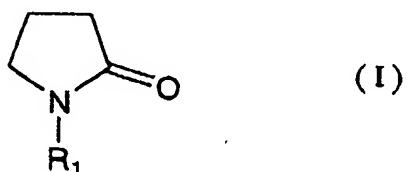
20 A substrate having photoresist patterns (mask patterns) thereon is subjected to a hydrophilic treatment. Any method can be used for the hydrophilic treatment so far as it can provide hydrophilicity to the surfaces of the substrate and the photoresist patterns without producing no ill effects to  
25 them. More specifically, methods such as applying a small amount of a hydrophilic solvent (an application improving agent) on the substrate, exposing the substrate in an atmosphere of steam, irradiating UV rays to the entire substrate,

O<sub>2</sub> plasma ashing treating to the substrate, and the like, are exemplified.

In the present invention, a method of applying a small amount of a hydrophilic solvent (an application improving agent) on the substrate is preferred. As the hydrophilic solvent, pure water, a water-soluble surfactant aqueous solution, and an alcohol aqueous solution are preferably used.

As water-soluble surfactants in the invention, at least the one selected among N-alkylpyrrolidones, quaternary ammonium salts and phosphate esters of polyoxyethylene are preferably employed, in view of the provision of no ill effects to the substrate and the photoresist patterns, and the most effectively exhibition of the advantages of the present invention. However, they are not limited thereto.

N-alkylpyrrolidones as surfactant are preferably represented by the following general formula (I):



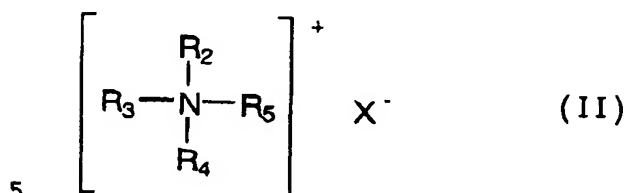
where R<sub>1</sub> is an alkyl group having at least 6 carbon atoms.

Specific examples of N-alkylpyrrolidones as surfactant include N-hexyl-2-pyrrolidone, N-heptyl-2-pyrrolidone, N-octyl-2-pyrrolidone, N-nonyl-2-pyrrolidone, N-decyl-2-pyrrolidone, N-undecyl-2-pyrrolidone, N-dodecyl-2-pyrrolidone, N-tridecyl-2-pyrrolidone, N-tetradecyl-2-pyrrolidone, N-pentadecyl-2-pyrrolidone, N-hexadecyl-2-pyrrolidone, N-heptadecyl-2-pyrrolidone and N-octadecyl-2-pyrrolidone. Among



these, N-octyl-2-pyrrolidone ("SURFADONE LP 100" of ISP Inc.) is preferably used.

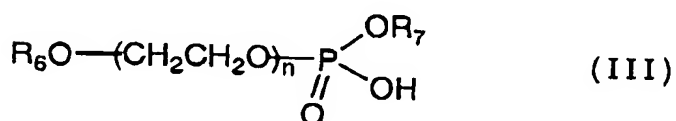
Quaternary ammonium salts as surfactant are preferably represented by the following general formula (II):



where  $R_2$ ,  $R_3$ ,  $R_4$  and  $R_5$  are each independently an alkyl group or a hydroxyalkyl group (provided that at least one of them is an alkyl or hydroxyalkyl group having not less than 6 carbon atoms);  $X^-$  is a hydroxide ion or a halogenide ion.

Specific examples of quaternary ammonium salts as surfactant include dodecyltrimethylammonium hydroxide, tridecyltrimethylammonium hydroxide, tetradecyltrimethylammonium hydroxide, pentadecyltrimethylammonium hydroxide, hexadecyltrimethylammonium hydroxide, heptadecyltrimethylammonium hydroxide and octadecyltrimethylammonium hydroxide. Among these, hexadecyltrimethylammonium hydroxide is preferably used.

Phosphate esters of polyoxyethylene are preferably represented by the following general formula (III):



where  $R_6$  is an alkyl or alkylaryl group having 1 - 10 carbon atoms;  $R_7$  is a hydrogen atom or  $(CH_2CH_2O)R_6$  (where  $R_6$  is as defined above);  $n$  is an integer of 1 - 20.

To mention specific examples, phosphate esters of poly-

oxyethylene that can be used as surfactants are commercially available under trade names "PLYSURF A212E" and "PLYSURF A210G" from Dai-ichi Kogyo Seiyaku Co., Ltd.

5 The water-soluble surfactants are preferably used by solving in pure water to obtain a water-soluble surfactant aqueous solution having a preferable concentration of 0.001 - 10 mass%, and more preferably 0.01 - 3 mass%.

10 As the alcohol, a monohydric alcohol, such as methyl alcohol, ethyl alcohol, propylene alcohol, and butyl alcohol; and a polyhydric alcohol, such as ethylene glycol, propylene glycol, and glycerol are exemplified, but are not limited thereto. The alcohols are preferably used by solving in pure water to obtain an alcohol aqueous solution having a preferable concentration of 0.01 - 30 mass%, and more preferably  
15 0.1 - 20 mass%.

The coating amount of the hydrophilic solvent (an application improving agent) can be the minimum amount so far as the solvent can be applied entirely over the substrate having photoresist patterns thereon, and not limited to any  
20 particular amounts. The preferable amount is about 0.05 - 10 mL, and more preferably 0.1 - 3 mL for the coating on an 8-inch silicon wafer. The solvent is generally applied on the substrate by whirl coating with a spinner, but not limited thereto. In the case where the amount of the solvent for the  
25 application is too little, the effects obtainable by the utilization of the hydrophilic solvent cannot be exhibited. On the other hand, in the case where the amount of the solvent is too much, a takt time is liable to be longer.

[b.] Over-coating agent application step

In the next step, an over-coating agent is applied to cover entirely the said substrate having photoresist patterns (mask patterns) thereon. In the present invention, the over-coating agent application step is performed after step [a.], the usage amount of the over-coating agent could be reduced sharply. For example, in applying the over-coating agent to an 8-inch silicon wafer, it was able to reduce the amount of the over-coating agent to 1 - 3 mL in the present invention, whereas in a common applying method without including step [a.] where 4 - 6 mL of the over-coating coating was applied. Even the amount of the over-coating agent could be reduced one half like this, the same coating uniformity was obtained as that of in the common application method. Further, even for the substrate that have photoresist patterns having ultra-fine lines and being formed thickly thereon, the over-coating agent is easily and completely applied into on the portions of the substrate between such photoresist patterns without the occurrence of microfoaming. The over-coating agent was applied in uniformity with efficiency.

After applying the over-coating agent, the substrate may optionally be pre-baked at a temperature of 80 - 100 °C for 30 - 90 seconds.

The over-coating agent may be applied by any methods commonly employed in the conventional heat flow process. Specifically, an aqueous solution of the over-coating agent for forming fine patterns is applied to the substrate by any known application methods including bar coating, roll coating

and whirl coating with a spinner.

The over-coating agent employed in the invention is to cover entirely the substrate having photoresist patterns (mask patterns) thereon, including patterns typified by hole patterns or trench patterns, each of these patterns are defined by spacing between adjacent photoresist patterns (mask patterns). Upon heating, the applied film of over-coating agent shrinks to increase the width of each of the photoresist patterns, thereby narrowing or lessening adjacent hole patterns or trench patterns as defined by spacing between the photoresist patterns and, thereafter, the applied film is removed substantially completely to form or define fine featured patterns.

The phrase "removing the applied film substantially completely" as used herein means that after lessening the spacing between adjacent photoresist patterns by the heat shrinking action of the applied over-coating agent, said film is removed in such a way that no significant thickness of the over-coating agent will remain at the interface with the photoresist patterns. Therefore, the present invention does not include methods in which a certain thickness of the over-coating agent is left intact near the interface with the photoresist pattern so that the feature size of the pattern is reduced by an amount corresponding to the residual thickness of the over-coating agent.

In the present invention, the over-coating agent is preferably employed that contains a water-soluble polymer.

The water-soluble polymer may be any polymer that can

dissolve in water at room temperature and various types may be employed without particular limitation; preferred examples include acrylic polymers, vinyl polymers, cellulosic derivatives, alkylene glycol polymers, urea polymers, melamine  
5 polymers, epoxy polymers and amide polymers.

Exemplary acrylic polymers include polymers and copolymers having monomeric components, such as acrylic acid, methyl acrylate, methacrylic acid, methyl methacrylate, N,N-dimethylacrylamide, N,N-dimethylaminopropylmethacrylamide,  
10 N,N-dimethylaminopropylacrylamide, N-methylacrylamide, diacetone acrylamide, N,N-dimethylaminoethyl methacrylate, N,N-diethylaminoethyl methacrylate, N,N-dimethylaminoethyl acrylate, acryloylmorpholine, etc.

Exemplary vinyl polymers include polymers and copolymers having monomeric components, such as N-vinylpyrrolidone,  
15 vinyl imidazolidinone, vinyl acetate, etc.

Exemplary cellulosic derivatives include hydroxypropylmethyl cellulose phthalate, hydroxypropylmethyl cellulose acetate phthalate, hydroxypropylmethyl cellulose hexahydrophthalate, hydroxypropylmethyl cellulose acetate succinate,  
20 hydroxypropylmethyl cellulose, hydroxypropyl cellulose, hydroxyethyl cellulose, cellulose acetate hexahydrophthalate, carboxymethyl cellulose, ethyl cellulose, methylcellulose, etc.

25 Exemplary alkylene glycol polymers include addition polymers and copolymers of ethylene glycol, propylene glycol, etc.

Exemplary urea polymers include those having methy-

lolur a, dimethylolurea, ethyleneurea, to. as components.

Exemplary melamine polymers include those having methoxymethylated melamine, methoxymethylated isobutoxymethylated melamine, methoxyethylated melamine, etc. as components.

5        Among epoxy polymers and amide polymers, those which are water-soluble may also be employed.

It is particularly preferred to employ at least one member selected from the group consisting of alkylene glycol polymers, cellulosic derivatives, vinyl polymers and acrylic polymers. Acrylic polymers are most preferred since they provide ease in pH adjustment. Copolymers comprising acrylic polymers and water-soluble polymers other than acrylic polymers are also preferred since during heat treatment, the efficiency of shrinking the spacing between the adjacent photo-resist patterns (mask patterns) can be increased while maintaining the shape of the photoresist pattern. The water-soluble polymers can be employed either singly or in combination.

When water-soluble polymers are used as copolymers, the proportions of the components are not limited to any particular values. However, if stability over time is important, the proportion of the acrylic polymer is preferably adjusted to be larger than those of other building polymers. Other than by using excessive amounts of the acrylic polymer, better stability over time can also be obtained by adding acidic compounds such as p-toluenesulfonic acid and dodecylbenzenesulfonic acid.

The over-coating agent for forming fine patterns may

additionally contain water-soluble amines. Preferred ones include amines having pKa (acid dissociation constant) values of 7.5 - 13 in aqueous solution at 25 °C in view of the prevention of the generation of impurities and pH adjustment.

5 Specific examples include the following: alkanolamines, such as monoethanolamine, diethanolamine, triethanolamine, 2-(2-aminoethoxy)ethanol, N,N-dimethylethanolamine, N,N-diethylethanolamine, N,N-dibutylethanolamine, N-methylethanolamine, N-ethylethanolamine, N-butylethanolamine,  
10 N-methyldiethanolamine, monoisopropanolamine, diisopropanolamine and triisopropanolamine; polyalkylenepolyamines, such as diethylenetriamine, triethylenetetramine, propylenediamine, N,N-diethylethylenediamine, 1,4-butanediamine, N-ethylethylenediamine, 1,2-propanediamine, 1,3-propanediamine and  
15 1,6-hexanediamine; aliphatic amines, such as triethylamine, 2-ethyl-hexylamine, dioctylamine, tributylamine, tripropylamine, triallylamine, heptylamine and cyclohexylamine; aromatic amines, such as benzylamine and diphenylamine; and cyclic amines, such as piperazine, N-methyl-piperazine and  
20 hydroxyethylpiperazine. Preferred water-soluble amines are those having boiling points of 140 °C (760 mmHg) and above, as exemplified by monoethanolamine and triethanolamine.

If the water-soluble amine is to be added, it is preferably incorporated in an amount of about 0.1 - 30 mass%,  
25 more preferably about 2 - 15 mass%, of the over-coating agent (in terms of solids content). If the water-soluble amine is incorporated in an amount of less than 0.1 mass%, the coating fluid may deteriorate over time. If the water-soluble amine

is incorporated in an amount exceeding 30 mass%, the photore-  
sist pattern being formed may deteriorate in shape.

The over-coating agent for forming fine patterns is ad-  
justed to have pH values of 2 - 3 by the addition of the wa-  
5 ter-soluble amine. There may be cases where the over-coating  
agent for forming fine patterns is applied to the substrate  
having metallic layers easily corroded by an acid, the pH  
values may be adjusted to 3 - 5.

For such purposes as reducing the dimensions of pat-  
10 terns and controlling the occurrence of defects, the over-  
coating agent for forming fine patterns may further option-  
ally contain non-amine based, water-soluble organic solvents.

As such non-amine based, water-soluble organic solvents,  
any non-amine based organic solvents that can mix with water  
15 may be employed and they may be exemplified by the following:  
sulfoxides, such as dimethyl sulfoxide; sulfones, such as di-  
methylsulfone, diethylsulfone, bis(2-hydroxyethyl)sulfone and  
tetramethylenesulfone; amides, such as N,N-dimethylformamide,  
N-methylformamide, N,N-dimethylacetamide, N-methylacetamine  
20 and N,N-diethylacetamide; lactams, such as N-methyl-2-  
pyrrolidone, N-ethyl-2-pyrrolidone, N-propyl-2-pyrrolidone,  
N-hydroxymethyl-2-pyrrolidone and N-hydroxyethyl-2-  
pyrrolidone; imidazolidinones, such as 1,3-dimethyl-2-  
imidazolidinone, 1,3-diethyl-2-imidazolidinone and 1,3-  
25 diisopropyl-2-imidazolidinone; and polyhydric alcohols and  
derivatives thereof, such as ethylene glycol, ethylene glycol  
monomethyl ether, ethylene glycol monoethyl ether, ethylene  
glycol monobuthyl ether, ethylene glycol monomethyl ether



acetate, ethylene glycol mono thyl ether acetate, diethylene glycol, diethylene glycol monom thyl ether, diethylene glycol monoethyl ether, diethylene glycol monobuthyl ether, propylene glycol, propylene glycol monomethyl ether, glycerol, 1,2-butylene glycol, 1,3-butylene glycol and 2,3-butylene glycol.

Among those mentioned above, polyhydric alcohols and their derivatives are preferred for the purposes of reducing the dimensions of patterns and controlling the occurrence of defects and glycerol is particularly preferred. The non-amine based, water-soluble organic solvents may be used either singly or in combination.

If the non-amine based, water-soluble organic solvent is to be added, it is preferably incorporated in an amount of about 0.1 - 30 mass%, more preferably about 0.5 - 15 mass%, of the water-soluble polymer. If the non-amine based, water-soluble organic solvent is incorporated in an amount of less than 0.1 mass%, its defect reducing effect tends to decrease. Beyond 30 mass%, a mixing layer is liable to form at the interface with the photoresist pattern.

In addition, the over-coating agent may optionally contain a surfactant for attaining special effects such as coating uniformity and wafer's in-plane uniformity.

The surfactant is preferably employed that, when added to the water-soluble polymer, exhibits certain characteristics such as high solubility, non-formation of a suspension and miscibility with the polymer component. By using surfactants that satisfy these characteristics, the occurrence of defects can be effectively controlled that is considered to

be pertinent to microfoaming upon coating th over-coating agent.

From the points above, surfactants in the invention are preferably employed at least the one selected among N-  
5 alkylpyrrolidones, quaternary ammonium salts and phosphate esters of polyoxyethylene, that are used for a hydrophilic solvent used in step [a.] above.

If the surfactant is to be added, it is preferably incorporated in an amount of about 0.1 - 10 mass%, more preferably about 0.2 - 2 mass%, of the over-coating agent (in  
10 terms of solids content). By adopting the amount as described above ranges, it may effectively prevent the variations in the percent shrinkage of patterns, potentially depending on the wafer's in-plane uniformity which is caused by the deterioration of coating property, and also prevent the occurrence of defects that are considered to have cause-and-effect  
15 relations with microfoaming on the applied film that generates as the coating conditions are worsened.

The over-coating agent of the invention for forming  
20 fine patterns is preferably used as an aqueous solution at a concentration of 3 - 50 mass%, more preferably at 5 - 30 mass%. If the concentration of the aqueous solution is less than 3 mass%, poor coverage of the substrate may result. If the concentration of the aqueous solution exceeds 50 mass%,  
25 there is no appreciable improvement in the intended effect that justifies the increased concentration and the solution cannot be handled efficiently.

As already mentioned, the over-coating agent in th in-

vention for forming fine patterns is usually employed as an aqueous solution using water as the solvent. A mixed solvent system comprising water and an alcoholic solvent may also be employed. Exemplary alcoholic solvents include methyl alcohol, ethyl alcohol, propyl alcohol, isopropyl alcohol, glycerol, ethylene glycol, propylene glycol, 1,2-butylene glycol, 1,3-buthylene glycol and 2,3-butylene glycol, etc. These alcoholic solvents are mixed with water in amounts not exceeding about 30 mass%.

10 [c.] Heat treatment (thermal shrinkage) step

In the next step, heat treatment is performed to cause thermal shrinkage of the film of the over-coating agent. Under the resulting force of thermal shrinkage of the film, the dimensions of the photoresist pattern in contact with the film will increase by an amount equivalent to the thermal shrinkage of the film and, as the result, the photoresist pattern widens and accordingly the spacing between adjacent photoresist patterns lessens. The spacing between adjacent photoresist patterns determines the diameter or width of the pattern elements to be finally obtained, so the decrease in the spacing between adjacent photoresist patterns contributes to reducing the diameter of each element of a hole pattern or the width of each element of a trench pattern, eventually leading to the definition of a pattern with smaller feature sizes.

The heating temperature is not limited to any particular value as long as it is high enough to cause thermal shrinkage of the film of the over-coating agent and form or

define a fine pattern. Heating is preferably done at a temperature that will not cause thermal fluidizing of the photoresist pattern. The temperature that will not cause thermal fluidizing of the photoresist pattern is such a temperature that when a substrate on which the photoresist pattern has been formed but no film of the over-coating agent has been formed is heated, the photoresist pattern will not experience any dimensional changes. Performing a heat treatment under such temperature conditions is very effective for various reasons, e.g. a fine-line pattern of good profile can be formed more efficiently and the duty ratio in the plane of a wafer, or the dependency on the spacing between photoresist patterns in the plane of a wafer, can be reduced. Considering the softening points of a variety of photoresist compositions employed in current photolithographic techniques, the preferred heat treatment is usually performed within a temperature range of about 80 - 160 °C for 30 - 90 seconds, provided that the temperature is not high enough to cause thermal fluidizing of the photoresist.

The thickness of the film of the over-coating agent for the formation of fine-line patterns is preferably just comparable to the height of the photoresist pattern or high enough to cover it.

#### [d.] Over-coating agent removal step

In the subsequent step, the remaining film of the over-coating agent on the patterns is removed by washing with an aqueous solvent, preferably pure water. Prior to washing with water, rinsing may optionally be performed with an aqueous

solution of alkali (e.g. tetramethylammonium hydroxide (TMAH) or choline). The over-coating agent of the present invention is easy to remove by washing with water and it can be completely removed from the substrate and the photoresist pattern. The contact method of the substrate with the aqueous solution can be performed by, for example, a puddle method, a dip method, a shower method, a spray method, etc., but is not limited thereto. The contact time of the substrate with the aqueous solution is in usual for 10 - 300 seconds, but is not limited thereto.

As a result, each pattern on the substrate has a smaller feature size because each pattern is defined by the narrowed spacing between the adjacent widened photoresist patterns..

The fine-line pattern thus formed using the over-coating agent of the present invention has a pattern size smaller than the resolution limit attainable by the conventional methods. In addition, it has a good enough profile and physical properties that can fully satisfy the characteristics required of semiconductor devices.

Steps [a.] - [d.] may be repeated several times. By repeating steps [a.] - [d.] several times, the photoresist trace patterns (mask patterns) can be progressively widened. Furthermore, the use of the over-coating agent for forming fine patterns containing a water-soluble polymer allows the over-coating agent be completely removed with water every time in repeating the removal step plural times. Therefore, the present invention offers the advantage that even in the

case of using a substrate having thick-film photoresist patterns, fine-line patterns of good profile can be formed on the substrate without causing pattern distortion or deformation.

5 Furthermore, the adopting a substrate hydrophilic treatment step prior to the coating step of the over-coating allows the application of the over-coating agent into on the portions of the substrate between photoresist patterns easily and completely. Owing to this, the in-plane uniformity of the  
10 heat shrinkage of the over-coating agent was improved, and good profile patterns can be formed entirely across even on a large-diameter substrate.

The technical field of the present invention is the semiconductor industry, etc., but it is not limited thereto.

15

#### EXAMPLES

The following examples are provided for further illustrating the present invention but are in no way to be taken as limiting. Unless otherwise noted, all amounts of ingredients are expressed in mass%.

20

#### EXAMPLE 1

A copolymer including polyvinylpyrrolidone (PVP) polyacrylate (PAA) [6.37 g; NVP copolymer, NOF Corporation], triethanolamine (0.57 g) and a polyoxyethylene phosphate ester surfactant (0.06 g; "PLYSURF A210G", product of Dai-ichi  
25 Kogyo Seiyaku Co. Ltd.) were dissolved in water (93 g) to prepare an over-coating agent.

A substrate (8-inch diameter) was whirl coated with a

positive-acting photoresist TDUR-P036PM (product of Tokyo Ohka Kogyo Co., Ltd.) and baked at 80 °C for 90 seconds to form a photoresist layer in a thickness of 0.48 μm.

5 The photoresist layer was exposed with an exposure unit (Canon FPA-3000EX3, product of Canon Inc.), subjected to heat treatment at 120 °C for 90 seconds and developed with an aqueous solution of 2.38 mass% TMAH (tetramethylammonium hydroxide) to form photoresist patterns which defined hole patterns with an each diameter of 180.2 nm (i.e., the spacing between  
10 the photoresist patterns, or the initial hole dimension, was 180.2 nm).

Next, pure water (2 mL) was applied onto the substrate for the hydrophilic treatment. After that, the previously prepared over-coating agent (3 mL) was applied onto the substrate including hole patterns. There were no coating variations and a good coating uniformity was obtained.  
15

Then, the thusly treated substrate was subjected to heat treatment at 116 °C for 60 seconds, thereby reducing the each size of the hole patterns. Subsequently, the substrate, while being kept whirling at 1500 rpm, was brought into contact with pure water by dropping it on the substrate for 120 seconds to remove the over-coating agent. The each diameter of the hole patterns was reduced to 160.5 nm.  
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#### EXAMPLE 2

25 A copolymer including polyvinylpyrrolidone (PVP) polyacrylate (PAA) [6.37 g; NVP copolymer, NOF Corporation], triethanolamine (0.57 g) and a polyoxyethylene phosphate ester surfactant (0.06 g; "PLYSURF A210G", product of Dai-ichi

Kogyo Seiyaku Co, Ltd.) were dissolved in water (93 g) to prepare an over-coating agent.

Also, a polyoxyethylenelene phosphate ester surfactant (0.1 g; "PLYSURF A210G", product of Dai-ichi Kogyo Seiyaku Co, Ltd.) was dissolved in water (99 g) to prepare a hydrophilic solvent (an application improving agent).

A substrate (8-inch diameter) was whirl coated with a positive-acting photoresist TDUR-P036PM (product of Tokyo Ohka Kogyo Co., Ltd.) and baked at 80 °C for 90 seconds to form a photoresist layer in a thickness of 0.48  $\mu\text{m}$ .

The photoresist layer was exposed with an exposure unit (Canon FPA-3000EX3, product of Canon Inc.), subjected to heat treatment at 120 °C for 90 seconds and developed with an aqueous solution of 2.38 mass% TMAH (tetramethylammonium hydroxide) to form photoresist patterns which defined hole patterns with an each diameter of 180.2 nm (i.e., the spacing between the photoresist patterns, or the initial hole dimension, was 180.2 nm).

Next, the above-described hydrophilic solvent (the application improving agent) (2 mL) was applied onto the substrate for the hydrophilic treatment. After that, the previously prepared over-coating agent (3 mL) was applied onto the substrate including hole patterns. There were no coating variations and a good coating uniformity was obtained.

Then, the thusly treated substrate was subjected to heat treatment at 116 °C for 60 seconds, thereby reducing the each size of the hole patterns. Subsequently, the substrate, while being kept whirling at 1500 rpm, was brought into con-



tact with pure water by dropping it on the substrate for 120 seconds to remove the over-coating agent. The each diameter of the hole patterns was reduced to 160.0 nm.

#### COMPARATIVE EXAMPLE 1

5       The same procedure as described in Example 1 was repeated, except that the application step of pure water (an application improving agent) was omitted. As a result, coating variations were occurred and the substrate having the photoresist patterns thereon was not entirely covered with  
10   the over-coating agent, and therefore the patterns partially could not be lessened.

      In addition, when the procedure of Comparative Example 1 was repeated, except that the amount of the over-coating agent was increased to double (6 mL), the coating variations  
15   did not occur.

      As described above in detail, the present invention provide a method for forming fine patterns, by which advantages obtained of improving coating properties of the over-coating agent and uniformity of the film thickness, as well  
20   as reducing the usage amount of the over-coating agent.